

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. DP-305346

First Inventor Craig A. Osterday

Title ELECTRICALLY ACTUATED DISC BRAKE ASSEMBLY

Express Mail Label No. EV 212 249 983 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 13]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 1]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No: 10 / 202,771Prior application information: Examiner Benjamin A. PezzloGroup / Art Unit: 3683

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label27305or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Robert L. Stearns

Registration No. (Attorney/Agent)

36,937

Signature

Date

March 9, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.  
SEND TO: Commissioner for Patents, MAIL STOP PATENT APPLICATION, P.O. BOX 1450, Alexandria, VA 22313-1450.

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the enclosed Patent Application, fee and related documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as Express Mail Post Office to Addressee, Mailing Label No. EV 212 249 983 US and addressed to Mail Stop Patent Application - Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on February 24, 2004.

*Melissa S. Dadisman*  
Melissa S. Dadisman

030904

23026 U.S. PTO

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# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | Unassigned        |
| Filing Date          | Herewith          |
| First Named Inventor | Craig A. Osterday |
| Examiner Name        | Unknown           |
| Group / Art Unit     | 3683              |
| Attorney Docket No.  | DP-305346         |

TOTAL AMOUNT OF PAYMENT (\$ ) 810

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other  
☒ Deposit Account ☐ None

Deposit  
Account  
Number

08-2789

Deposit  
Account  
Name

Howard & Howard Attorneys

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 1001                 | 770                   | 2001                 | 385                   | Utility filing fee     | 770      |
| 1002                 | 340                   | 2002                 | 170                   | Design filing fee      |          |
| 1003                 | 530                   | 2003                 | 265                   | Plant filing fee       |          |
| 1004                 | 770                   | 2004                 | 385                   | Reissue filing fee     |          |
| 1005                 | 160                   | 2005                 | 80                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ ) 770

### 2. EXTRA CLAIM FEES

|                    |    |       |   |   |   |    |   |   |
|--------------------|----|-------|---|---|---|----|---|---|
| Total Claims       | 14 | -20** | = | 0 | X | 18 | = | 0 |
| Independent Claims | 2  | -3**  | = | 0 | X | 86 | = | 0 |
| Multiple Dependent |    |       |   |   | X |    | = | 0 |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 1202                 | 18                    | 2202                 | 9                     | Claims in excess of 20                                     |
| 1201                 | 86                    | 2201                 | 43                    | Independent claims in excess of 3                          |
| 1203                 | 200                   | 2203                 | 145                   | Multiple dependent claim, if not paid                      |
| 1204                 | 86                    | 2204                 | 43                    | ** Reissue independent claims over original patent         |
| 1205                 | 18                    | 2205                 | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 1051                           | 130                            | 2051                           | 65                             | Surcharge - late filing fee or oath  |          |
| 1052                           | 50                             | 2052                           | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053                           | 130                            | 1053                           | 130                            | Non-English specification  |          |
| 1812                           | 2,520                          | 1812                           | 2,520                          | For filing a request for <i>exparte</i> reexamination                      |          |
| 1804                           | 920*                           | 1804                           | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                           | 1,840*                         | 1805                           | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 1251                           | 110                            | 2251                           | 55                             | Extension for reply within first month                                     |          |
| 1252                           | 420                            | 2252                           | 210                            | Extension for reply within second month                                    |          |
| 1253                           | 950                            | 2253                           | 475                            | Extension for reply within third month                                     |          |
| 1254                           | 1,480                          | 2254                           | 740                            | Extension for reply within fourth month                                    |          |
| 1255                           | 2,010                          | 2255                           | 1,005                          | Extension for reply within fifth month                                     |          |
| 1401                           | 330                            | 2401                           | 165                            | Notice of Appeal   |          |
| 1402                           | 330                            | 2402                           | 165                            | Filing a brief in support of an appeal                                     |          |
| 1403                           | 290                            | 2403                           | 145                            | Request for oral hearing   |          |
| 1451                           | 1,510                          | 1451                           | 1,510                          | Petition to institute a public use proceeding                              |          |
| 1452                           | 110                            | 2452                           | 55                             | Petition to revive - unavoidable   |          |
| 1453                           | 1,330                          | 2453                           | 665                            | Petition to revive - unintentional   |          |
| 1501                           | 1,330                          | 2501                           | 665                            | Utility issue fee (or reissue)   |          |
| 1502                           | 480                            | 2502                           | 240                            | Design issue fee   |          |
| 1503                           | 640                            | 2503                           | 320                            | Plant issue fee  |          |
| 1460                           | 130                            | 1460                           | 130                            | Petitions to the Commissioner  |          |
| 1807                           | 50                             | 1807                           | 50                             | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                           | 180                            | 1806                           | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 8021                           | 40                             | 8021                           | 40                             | Recording each patent assignment per property (times number of properties) | 40       |
| 1809                           | 770                            | 2809                           | 385                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                           | 770                            | 2810                           | 385                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                           | 770                            | 2801                           | 385                            | Request for Continued Examination (RCE)                                    |          |
| 1802                           | 900                            | 1802                           | 900                            | Request for expedited examination of a design application                  |          |

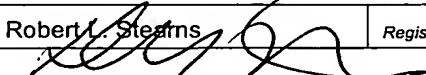
Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 40

## SUBMITTED BY

|                   |   |                                  |        |           |                |
|-------------------|---|----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Robert L. Stearns   | Registration No. Attorney/Agent) | 36,937 | Telephone | (248) 723-0427 |
| Signature         |  |                                  |        | Date      | March 9, 2004  |

Complete (if applicable)

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